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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	6653-022-999	Total Pages	53
First Named Inventor or Application Identifier			
Yang Yunn-Bor			
Express Mail Label No.			EV335858999US

**APPLICATION ELEMENTS**

See MPEP chapters 600 concerning utility patent application contents.

Commissioner for Patents  
P.O. Box 1450  
Mail Stop PATENT APPLICATION  
Alexandria, VA 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program
2. <input checked="" type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27	8. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 22]  (preferred arrangement set forth below, MPEP 1503.01) - Descriptive title of the Invention - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed)  - Detailed Description of the Invention (including drawings, if filed) - Claim(s) - Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF)  b. <input checked="" type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper (copy from U.S. application no. 10/105,319, filed March 26, 2002)  c. <input checked="" type="checkbox"/> Statement verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4]	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. <input checked="" type="checkbox"/> Oath or Declaration  a. <input type="checkbox"/> Newly executed (original or copy)  b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	10. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	11. <input type="checkbox"/> English Translation Document (if applicable)
	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations B05-B07 and C05-C08
	13. <input checked="" type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or its equivalent
	17. <input checked="" type="checkbox"/> Other: Transmittal of Formal Drawings; Formal Drawings (4 sheets, Figures 1-4);

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) | of prior application No.: 10/105,319, filed March 26, 2002.

Prior application information: Examiner: Swope, Sheridan Group Art Unit: 1652

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number	20583 Insert Customer No.	<input type="checkbox"/> Correspondence address below
NAME		
ADDRESS		
CITY	STATE	ZIP CODE
COUNTRY	TELEPHONE	FAX

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NYJD: 1510898.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner Swope, Sheridan  
Art Unit 1652

Commissioner for Patents  
P.O. Box 1450  
Mail Stop PATENT APPLICATION  
Alexandria, VA 22313-1450

Sir:

This is a request for filing a  continuation  divisional application under 37 CFR § 1.53(b), of pending prior application no. 10/105,319 filed on March 26, 2002.

of Yang Yunn-Bor, Wei Chia-Li, Hsu Jyh-Shing, and Tsai Ying-Chieh  
(inventor(s) currently of record in prior application)

for MUTATED PENICILLIN EXPANDASE AND PROCESS FOR PREPARING 7-ADCA USING THE SAME  
(title of invention)

1.  The filing fee is calculated below:

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	19	- 20	0	\$18.00 each	\$ 0.00
Independent	1	- 3	0	\$86.00 each	\$ 0.00
			Minimum Fee		\$ 770.00
			Multiple Dependency Fee		\$ 0.00
			If Applicable (\$290.00)		
				<b>Total</b>	\$ 770.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					
				<b>Total Filing Fee</b>	\$ 385.00

2.  Please charge the required fee to Deposit Account No. 16-1150. A copy of this sheet is enclosed.

3a.  A Preliminary Amendment is enclosed.

3b.  A new specification, substantially identical to the pending prior application 10/105,319, filed March 26, 2004, and containing no new matter, is enclosed.

4a.  New formal drawings are enclosed.

4b.  Informal drawings are enclosed.

5a.  Priority of application no. filed on in is claimed under 35 U.S.C. §119.

5b.  The certified copy has been filed in prior application no. , filed .

6.  The prior application is assigned of record to Synmax Biochemical Co., Ltd. by virtue of an assignment recorded on March 26, 2002 at Reel 01743, Frame 0562.

7a.  A copy of the Declaration and Power of Attorney filed in the prior application no. 10/105,319, filed March 26, 2002, is enclosed.

7b.  A Power of Attorney is enclosed.

8.  This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§ 1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. 10/105,319, filed March 26, 2002, be made a part of the present application as provided for by 37 C.F.R. § 1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. 10/105,319, filed March 26, 2002, is enclosed.

9.  The undersigned states, under 37 C.F.R. § 1.821(f), that the substantive content of the enclosed paper Sequence Listing from application no. 10/105,319, filed March 26, 2002, is the same as the substantive content of the computer readable form submitted in application no. 10/105,319, filed March 26, 2002.

10.  DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

11.  Additional enclosures or instruction: Preliminary Amendment; Transmittal of Formal Drawings; Information Disclosure Statement; and List of References Cited by Applicants; and a copy of references B05-B07 and C05-C08.

Respectfully submitted,

Date February 27, 2004

  
Geraldine F. Baldwin  
JONES DAY  
222 East 41st Street  
New York, New York 10017

31,232

(Reg. No.)

**EXPRESS MAIL NO.: EV335858999US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of:	Yang et al.	Confirmation No.:	To be assigned
Application No.:	To be assigned (Continuation of U.S. Patent Application No. 10/105,319)	Art Unit:	To be assigned
Filed:	Herewith	Examiner:	To be assigned
For:	MUTATED PENICILLIN EXPANDASE AND PROCESS FOR PREPARING 7-ADCA USING THE SAME	Attorney Docket No.:	6653-022-999

**TRANSMITTAL OF FORMAL DRAWINGS**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

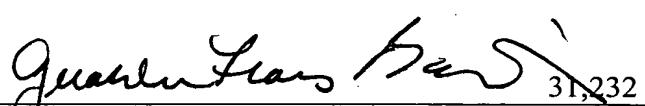
Sir:

Applicants submit herewith formal drawings in connection with the above-identified application. The formal drawings consist of four (4) sheets of drawings (corresponding to Figures 1-4).

Applicants respectfully request entry of the enclosed drawings in the file of the above-identified application.

Respectfully submitted,

Date: February 27, 2004

  
Geraldine F. Baldwin

31,232  
(Reg. No.)

**JONES DAY**  
222 East 41<sup>st</sup> Street  
New York, New York 10017  
(212) 326-3939

Enclosures